## Meeting with Securities Industry and Financial Markets Association

February 9, 2012

## Attendees:

Bonnie Litt, Managing Director and Associate General Counsel, Goldman Sachs

Maria Chiodi, Director and General Counsel, Credit Suisse Securities (USA) LLC

Alessandro Cocco, Managing Director and Associate General Counsel, J.P. Morgan Securities

Barbara Wierzynski, General Counsel, Futures Industry Association

Bradley Edgell, Managing Director, Government Affairs, Securities Industry and Financial Markets Association

James McHale, Managing Director and Chief Compliance Officer, Pershing

Belinda Blaine, Executive Director, Legal and Compliance, Morgan Stanley & Co.

Paul Architzel, Partner, Wilmer Hale

Yoon-Young Lee, Partner, Wilmer Hale

John Nicholas, Global Head of New Regulation Monitoring, NewEdge

Craig Griffith, Analyst, Securities Industry and Financial Markets Association

Kyle Brandon, Managing Director, Director of Research, Securities Industry and Financial Markets Association

John Polanin, Regional Head of Compliance, Americas, Macquarie Group

Greg Johnson, Managing Director and Head of Regulatory Compliance, J.P. Morgan Chase